

Please type a plus sign (+) inside this box

+

PTO/SB/21 (08-00)

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/376,867
Filing Date August 18, 1999
First Named Inventor Eliezer Pasternak
Group Art Unit 2831
Examiner Name Nguyen T. Ha
Attorney Docket Number 2100259-991100

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

- | | | |
|--|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form
<input checked="" type="checkbox"/> Fee Attached
<input checked="" type="checkbox"/> Amendment/Reply
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)
<input checked="" type="checkbox"/> Extension of Time Request
<input type="checkbox"/> Express Abandonment Request
<input type="checkbox"/> Information Disclosure Statement
<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)
<input checked="" type="checkbox"/> Drawing(s)
<input type="checkbox"/> Licensing-related Papers
<input type="checkbox"/> Petition to Convert to a Provisional Application
<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address
<input type="checkbox"/> Terminal Disclaimer
<input type="checkbox"/> Request for Refund
<input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Other Enclosure(s):
Transmittal of Formal Dwgs (1 pg);
12 sheets formal drawings;
Cik # 545755 for \$ 55.00
Certificate of Mailing (1 pg); &
Return postcard |
|--|---|---|

Remarks

☒ Customer Number or Bar Code Label

26379

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 07-1896. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

GRAY CARY WARE & FREIDENRICH LLP


Dated: October 3, 2003

By:

Kieun "Jenny" Sung
Reg. No. 48,639
Attorneys for Applicant(s)
2000 University A venue
East Palo Alto, CA 94303
Telephone No. 650-320-7426

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

Typed or printed name	Cathy Pittman	Date	October 3, 2003
Signature			

FEE TRANSMITTAL
for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$).00

Complete if Known

Application Number	09/376,867
Filing Date	August 18, 1999
First Named Inventor	Eliezer Pasternak
Examiner Name	To Be Assigned
Art Unit	To Be Assigned
Attorney Docket No.	2100259-991105

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

Deposit Account Number: 07-1896

Deposit Account Name: Gray Cary Ware & Freidenrich LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge (fees) indicated below. ☒ Credit any overpayments

☒ Charge any additional fee(s) which may be required for this filing

☐ Charge fee(s) indicated below, **except for filing fee** to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$) 0.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims		Extra Claims		Fee from below		Fee Paid	
	3	-20** =	0	X		=	0
Independent Claims	1	-3** =	0	X		=	0
Multiple Dependent				X		=	

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	84	2201	42	Independent claims in excess of 3	
1203	280	2203	140	Multiple dependent claim, if not paid	
1204	84	2204	42	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$) 0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	55
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(c)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 55.00

RECEIVED
OCT 17 2003
TECHNOLOGICAL
422800

SUBMITTED BY

Name (Print/Type)	Kieun "Jenny" Sung	Registration No. (Attorney/Agent)	48,639	Telephone	650-833-2121
Signature		Date	October 3, 2003		

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Eliezer Pasternak

Serial No: 09/376,867

Filed: August 18, 1999

Group Art Unit: 2831

Title: DIRECT DIGITALLY TUNABLE MICROWAVE
OSCILLATORS AND FILTERS

#5 / Formal
Drawings
7. EVANS
10.27.03

TRANSMITTAL OF FORMAL DRAWINGS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir/Madam:

Transmitted herewith are twelve (12) sheets of formal drawings relative to the above-identified application.

Please address all future communications regarding this application to:

Kieun "Jenny" Sung
Gray Cary Ware & Freidenrich LLP
2000 University Avenue
East Palo Alto, California 94303

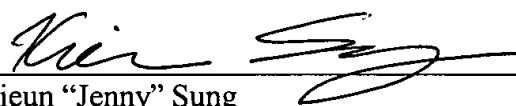
RECEIVED
OCT 17 2003
TECHNOLOGY CENTER 2800

Please direct all telephone calls to at 650/833-2121.

Respectfully submitted,

GRAY CARY WARE FREIDENRICH LLP

Dated: October 3, 2003


Kieun "Jenny" Sung
(Reg. No. 48,639)
Attorneys for Applicants

GRAY CARY WARE & FREIDENRICH LLP
2000 University Avenue
East Palo Alto, CA 94303
Tel: 650/833-2121
Fax: 650/833-7401



Docket No. 2100259-991100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Eliezer Pasternak

Serial No. 09/376,867

Group Art Unit: 2831

Filed: August 18, 1999

Examiner: Nguyen T. Ha

Title: DIRECT DIGITALLY TUNABLE MICROWAVE OSCILLATORS AND FILTERS

Date of Deposit October 3, 2003

I hereby certify that this paper is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents; P.O. Box 1450, Alexandria, VA 22313-1450


Cathy Pittman

CERTIFICATE OF MAILING

COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing under 37 CFR 1.56(a) are:

- [1] Transmittal Form;
- [1] Petition for Extension of Time;
- [7] Response to Office Action;
- [1] Transmittal of Formal Drawings
- [12] Twelve sheets formal drawings
- [1] Fee Transmittal FY 2003;
- [1] Check # 545755 for \$55.00;
- [1] Certificate of First Class Mailing; and
- [1] Return Postcard

RECEIVED
OCT 17 2003
TECHNOLOGY CENTER 2600